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Feasibility of Harmonic Motion Imaging Using A Single Transducer: In Vivo Imaging of Breast **Cancer in A Mouse Model and Human Subjects**

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2 the mechanical properties of tissues by simultaneously 3 generating and tracking harmonic oscillation using focused 4 ultrasound and imaging transducers, respectively. Instead s of using two transducers, the objective of this work is to ⁶ develop a single transducer HMI (ST-HMI) to both generate ⁵⁴ and applied to diagnose diseases in liver [4], [5], breast [6], [7], 7 and track harmonic motion at "on-axis" to the force for 8 facilitating data acquisition. In ST-HMI, the amplitude-9 modulated force was generated by modulating excitation 10 pulse duration and tracking of motion was performed by n transmitting tracking pulses interleaved between excitation 12 pulses. The feasibility of ST-HMI was performed by imaging 13 two elastic phantoms with three inclusions (N=6) and 14 comparing it with acoustic radiation force impulse (ARFI) 15 imaging, in vivo longitudinal monitoring of 4T1, orthotropic 16 breast cancer mice (N=4), and patients (N=3) with breast 17 masses in vivo. Six inclusions with Young's moduli of 8, 10, 18 15, 20, 40, and 60 kPa were embedded in a 5 kPa 19 background. The ST-HMI-derived peak-to-peak 20 displacement (P2PD) successfully detected all inclusions 21 with R²=0.93 of the linear regression between the P2PD 22 ratio of background to inclusion versus Young's moduli 23 ratio of inclusion to background. The contrasts of 10 and 15 24 kPa inclusions were higher in ST-HMI than ARFI-derived 25 images. In the mouse study, the median P2PD ratio of tumor 26 to non-cancerous tissues was 3.0, 5.1, 6.1, and 7.7 at 1, 2, 3, 27 and 4 weeks post-injection of the tumor cells, respectively. 28 In the clinical study, ST-HMI detected breast masses 29 including fibroadenoma, pseudo angiomatous stromal 30 hyperplasia, and invasive ductal carcinoma with a P2PD 31 ratio of 1.37, 1.61, and 1.78, respectively. These results 32 indicate that ST-HMI can assess the mechanical properties 33 of tissues via generation and tracking of harmonic motion ³⁴ "on-axis" to the ARF. This study is the first step towards 35 translating ST-HMI in clinics.

Index Terms— Harmonic motion imaging; ARFI; 36 37 Elasticity imaging; Breast Cancer; Ultrasound; High-38 Frequency ARF.

I. INTRODUCTION

The mechanical properties of biological tissues depend on 40 41 their underlying microscopic and macroscopic structures and 42 compositions. Therefore, the changes in the mechanical ⁴³ properties are associated with a broad spectrum of pathologies 44 given that diseases change the structures and compositions of 45 the molecular building blocks of tissues. The mechanical 46 properties of tissues can be assessed either using ultrasound 47 elastography (UE) [1], magnetic resonance elastography ⁴⁸ (MRE) [2], or optical coherence elastography (OCE) [3]. The 49 UE is favorable in many cases due to its low cost, ease of use,

Abstract— Harmonic motion imaging (HMI) interrogates 50 portability, real-time capability, ability to penetrate deeper in 51 tissue, and ability to characterize the motion within the human 52 body. Over the last three decades, different UE methods [1] for 53 interrogating the mechanical properties have been developed 55 thyroid [8], prostate [9], kidney [10], [11], muscles [12], [13], 56 carotid artery [14], [15], and lymph nodes [16]. Note, 57 mechanical properties and stiffness are used synonymously 58 throughout the manuscript.

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Among the various UE approaches are those that exploit 60 acoustic radiation force (ARF) [17] to induce motion within the 61 tissue. ARF based methods either use displacements "on-axis" 62 to the ARF [18]-[21], or shear wave propagation "off-axis" to 63 the ARF [22]–[25] or both [26], [27] to assess the mechanical 64 properties. Both "on-axis" and "off-axis" -based methods have 65 their pros and cons. Shear wave-based methods provide 66 quantitative mechanical properties like elasticity and viscosity. 67 However, shear wave-based measurements are subject to shear 68 wave reflections and distortions artifacts in the finite and 69 heterogeneous media. In addition, the shear wave is calculated 70 by averaging over a 2-5 mm lateral window which leads to a 71 reduction in spatial resolution of the mechanical properties [28]. 72 Finally, shear wave assessments may be limited in deeper 73 organs, obese patients, and/or stiffer tissues due to the reduction 74 of "off-axis" displacements with shear wave propagation [29]. 75 In contrast to the shear wave-based measurements, the "ondisplacement-based methods provide qualitative 76 axis" 77 assessments of the mechanical properties as the force or stress 78 is generally unknown but with added benefits. First, 79 displacements are less distorted by heterogeneity as the so displacements are observed immediately following the ARF 81 excitation. Second, the "on-axis" method supports the finer 82 spatial resolution of mechanical features as the mechanical 83 properties are measured without lateral averaging [28]. Third, 84 displacements are greatest at the on-axis to ARF and therefore, 85 the "on-axis" method can assess the mechanical properties in 86 deeper organs, obese patients, and/or stiffer tissues.

87 Some "on-axis" ARF- based methods include acoustic 88 radiation force impulse (ARFI) imaging [18], ARF creep ⁸⁹ imaging [30], viscoelastic response (VisR) ultrasound imaging 90 [21], [31]-[33] and harmonic motion imaging (HMI) [20]. The 91 main difference between the HMI with other "on-axis" based 92 methods is that an amplitude modulated (AM)-ARF (AM-ARF) 93 is used to generate harmonic oscillations of tissue whereas other 94 "on-axis" methods use pulsed ARF. The advantage of using

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harmonic excitation is the fact that motion at the input 56 2 oscillation frequency can be easily filtered from reverberation, 3 movement, and breathing artifacts. Previously, the HMI has 4 been used for detecting pancreatic tumors [34], monitoring s treatment response of pancreatic tumors [35], monitoring high 6 intensity focused ultrasound-induced ablation of tumors [36], 7 [37], and livers [38]. In the current HMI configuration, a 8 focused ultrasound and imaging transducer simultaneously 9 generates and tracks AM-ARF-induced motion, respectively, 10 and a 2-D image is generated by mechanically translating both 11 transducers. The current use of two different transducers with a 12 mechanical positioner to generate a 2-D image renders the HMI 13 system highly complex to use for diagnostic imaging. The data 14 acquisition would be facilitated if the generation and tracking 15 of harmonic motion could be performed by a single imaging 16 transducer with electronic steering.

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17 Towards the goal of facilitating HMI data acquisitions, this 18 study investigates the feasibility of generating and mapping 19 harmonic motion "on-axis" to the ARF using an imaging 20 transducer. This new HMI method, named single transducer-21 HMI (ST-HMI), generates the AM-ARF by modulating the 22 excitation pulse duration and estimates the AM-ARF-induced 23 motion by transmitting the tracking pulses in between the 24 excitation pulses. Note, changes in the excitation pulse duration 25 change the integrated intensity of the pulse which in turn 26 generates different magnitude ARF [18]. Previously, Chen et 27 al. developed shearwave dispersion ultrasound vibrometry 28 (SDUV) to generate and track harmonic shear waves using a 29 single transducer [39]. However, a fixed duration ARF 30 excitation pulse oscillates at a particular frequency in the SDUV 31 which produces shear waves with comparable amplitudes of 32 fundamental versus harmonic frequencies. The wave energy is 33 distributed over several harmonics in the SDUV which may 34 limit its application in a low SNR scenario. Sadeghi et al. 35 developed harmonic shear wave imaging (HSWI) to generate 36 narrowband shear waves by modulating ARF excitation pulse 37 duration with an amplitude of the fundamental frequency ³⁸ several times higher than the harmonics frequencies amplitude 39 [40]. However, the HSWI is an "off-axis" ARF-based method 40 and the performance of HSWI was validated in the 41 homogeneous materials only. To the best of our knowledge, 42 there is no "on-axis" method that uses a single transducer for 43 both generating and tracking the harmonic motion.

⁴⁴ The objectives of this study are as follows. First, the ⁴⁵ feasibility of generating and tracking harmonic motion "on-⁴⁶ axis" to the ARF using a single transducer is demonstrated in ⁴⁷ contrasting inclusions with different stiffnesses, and the ⁴⁸ performance of ST-HMI is compared to the ARFI [18]. Second, ⁴⁹ the impact of parameters related to the generation of harmonic ⁵⁰ oscillations in contrasting inclusions is investigated. Third, the ⁵¹ feasibility of *in vivo* longitudinal monitoring of tumor ⁵² progression in a breast cancer mouse model using ST-HMI with ⁵³ a high-frequency transducer is tested. Fourth, the feasibility of ⁵⁴ contrasting different human breast masses *in vivo* is ⁵⁵ demonstrated.

II. MATERIALS AND METHODS

A. ST-HMI Excitation and Tracking Pulse Sequence

In ST-HMI, the tracking pulses were interleaved between s9 sinusoidally varying excitation pulse duration (see Fig. 2). The 60 tracking pulses were similar to a typical 2-cycle B-mode 61 imaging pulse whereas the excitation pulses were long-duration 62 pulses. Note, displacement linearly increases with the excitation 63 pulse duration for a fixed acoustic pressure [40]. Therefore, 64 sinusoidal variation in the excitation pulse duration generates 65 sinusoidally modulated displacements. The sinusoidal variation 66 in the excitation pulse duration was generated by sampling 67 following continuous signal ed(t):

$$ed(t) = t_{ARF}^{min} + \left(t_{ARF}^{max} - t_{ARF}^{min}\right) * \sin\left(2\pi \frac{f_{HMI}}{2}t\right) \qquad (1)$$
$$0 \le t \le T_{HMI}$$

⁶⁸ where, *t* is time, t_{ARF}^{min} and t_{ARF}^{max} are the minimum and maximum ⁶⁹ ARF excitation pulse duration, and f_{HMI} and T_{HMI} are the ST-⁷⁰ HMI oscillation frequency and period, respectively. N_{ep} ⁷¹ excitation pulses per period were selected by sampling (1) to ⁷² generate discrete-time signal ED[n] as follows:

$$ED[n] = ed(t) * \delta\left(t - n(T_{HMI} - t_{offset})\right),$$

$$n = 1 \cdots N_{en}$$
(2)

⁷³ where δ is the delta-Dirac function and t_{offset} defines the 1st and 74 last excitation pulse time point in a period. Equation (2) is 75 repeated N_{cvcle} times to generate a N_{cvcle} cycle harmonic 76 oscillation (see Fig. 3(b)). As the tracking pulses were 77 interleaved between the excitation pulses, the total number of 78 tracking pulses depends on N_{ep} and tracking pulse repetition 79 frequency (PRF). A reference tracking pulse was transmitted 80 first and the induced displacement was estimated with respect 81 to the reference tracking pulse. An excitation pulse was s2 transmitted just after reference tracking pulse if $t_{offset} = 0$ ms. 83 However, the tracking pulses were collected until *t*offset if *t*offset > ⁸⁴0 ms (see Fig. 2). Note, both focused excitation and tracking 85 beams were generated using sub-aperture depending on the F-⁸⁶ number and focal depth. Then, both focused excitation and 87 tracking beams were translated electronically across the lateral 88 field to generate a 2-D image (see Fig. 3).

89 B. Safety Measurements Associated with ST-HMI

To evaluate the safety of ST-HMI, acoustic pressure and intensity of the excitation pulses and temperature rise during the entire ST-HMI sequence were measured. The acoustic pressure was measured by a calibrated hydrophone (Model HGL-0020, 4 Ondo Corporation, Sunnyvale, CA, USA) mounted on a ps mechanical stage and controlled by stepper motors. The experiment was performed by submerging the hydrophone and 7 L7-4 transducer (Philips Healthcare, Andover, MA, USA) in a water tank. The transducer was operated by the Verasonics presearch system (Vantage 256, Verasonics Inc., Kirkland, WA, 100 USA). The oscillation frequency, excitation pulse number per 101 cycle, focal depth, excitation pulse center frequency, and 102 excitation voltage were fixed to 220 Hz, 8, 20 mm, 4.0 MHz, 103 and 35 V, respectively. The derated mechanical index (MI_{0.3}), 104 spatial peak temporal average (I_{SPTA,0.3}), and spatial peak pulsed

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average (I_{SPPA,0.3}) were calculated by derating the measured ² pressure at a rate of 0.3 dBcm⁻¹MHz⁻¹ [24]. As the ST-HMI ³ excitation contains several excitation pulses, the combined ⁴ excitation *I_{SPTA,0.3}* was calculated by summing the contribution ⁵ of all pulses [41] as $I_{SPTA,0.3} = \sum_{i=1}^{N_{ep}} PII_{0.3}^{i} * f_{HMI}$ where $PII_{0.3}^{i}$ is the derated pulse intensity integral of the ith excitation pulse. ⁷ *I_{SPPA,0.3}* was calculated as $I_{SPPA,0.3} = \sum_{i=1}^{N_{ep}} PII_{0.3}^{i} / \sum_{i=1}^{N_{ep}} D^{i}$ where D^{i} is the duration of the ith excitation pulse. All the ⁹ signals acquired by the hydrophone were digitized with a ¹⁰ Tetronix oscilloscope (Tektronix, Inc, Beaverton, Oregon, ¹¹ USA).

¹² Temperature rise due to the entire ST-HMI sequence (i.e., all ¹³ RF-lines) was measured by introducing a needle-type ¹⁴ thermocouple (Thermo Works T-29X, UT, USA) between the ¹⁵ transducer and a piece of the canine liver which were ¹⁶ submerged in 37°C water. The thermocouple was posited ¹⁷ laterally at the center of the field of view (FOV) and axially, ¹⁸ first at 1 mm and then, 20 mm from the transducer surface to ¹⁹ measure the temperature rise at transducer surface and focal ²⁰ depth, respectively. Two repeated measurements were taken at ²¹ each position and the average of the two measurements was ²² calculated.

23 C. Phantom Experiments

²⁴ Imaging of two commercially available elastic phantoms ²⁵ (customized model 049A, CIRS, Norfolk, VA, USA) was ²⁶ performed using a Verasonics research system with an L7-4 ²⁷ transducer. The transducer was held in a steady position using ²⁸ a clamp during imaging. In both phantoms, three stepped-²⁹ cylindrical inclusions with varying diameters were embedded ³⁰ in a 5 ± 1.0 kPa background. The manufacturer-provided ³¹ Young's moduli of 6 inclusions were 8 ± 1.5 , 10 ± 2 , 15 ± 3 , 20³² ± 4, 40 ± 8, and 60 ± 10 kPa. The imaging was performed at 10 ³³ ± 1.0 mm diameter cross-section of the cylindrical inclusions. ³⁴ The center of the inclusion was approximately 15 mm from the ³⁵ phantom's surface. Throughout the remainder of the ³⁶ manuscript, each inclusion will be represented by its mean ³⁷ nominal Young's modulus value.

First, the performance of ST-HMI was compared to ARFI 38 39 [18] by imaging 5 kPa homogenous region in the background 40 and 8, 10, and 15 kPa inclusions. The ARFI imaging was ⁴¹ performed using the methods described in [18], [42]–[44] with 42 parameters indicated in Table I. In all inclusions, ST-HMI was ⁴³ performed using $f_{HMI} = 220$ Hz, $N_{ep} = 8$, $t_{offset} = 0.2$ ms, and N_{cycle} $_{44}$ = 5 with parameters indicated in Table I. For two-dimensional 45 ST-HMI and ARFI imaging, 34 evenly spaced RF-lines with 46 0.6 mm spacing between RF-lines were acquired for the 47 respective imaging modality. The size of the excitation beam in 48 the lateral direction was 0.86 mm. There was also a 0.1 s 49 interval between RF-lines for electronic switching between sub-50 apertures and charging the power supply which is enough for 51 tissue recovery from the micron-level displacements. Thus, 52 there will be no interference in the tissue mechanical response 53 due to the overlapping excitation size of RF-lines. To reduce 54 transducer face heating, the entire HMI-data were collected 55 using wiper blading scanning mode [11]. In this scanning mode, IEEE TRANSACTIONS ON MEDICAL IMAGING, VOL. xx, NO. X, 2021

Table I

EXCITATION AND TRACKING PARAMETERS OF ACOUSTIC RADIATION FORCE IMPULSE (ARFI) USED IN IMAGING PHANTOMS AND SINGLE TRANSDUCER-HARMONIC MOTION IMAGING (ST-HMI) USED IN IMAGING PHANTOMS, *IN VIVO* BREAST CANCER PATIENTS, AND BREAST CANCER MICE WITH NORMALIZED CROSS CORRELATION PARAMETERS FOR DISPLACEMENT ESTIMATION. METHOD (ARFI/ST-HMI) IS NOT INDICATED FOR COMMON PARAMETERS. PH. = PHANTOM

Parameters	Phantom /Human	Mouse
Beam sequence parameters of ST-HMI / ARFI		
Transducer	L7-4	L22- 14vXLF
Bandwidth	58%	51%
Sampling frequency	20.84 MHz	62.5 MHz
Acoustic lens axial focus	25 mm	20 mm
frequency	4.0 MHz	15.6 MHz
Excitation pulse F- number	2.25	2.25
Tracking pulse frequency	6.1 MHz	20.8 MHz
Tracking pulse transmit F-number	1.75	1.75
Tracking pulse receive F- number *	1.0	1.0
Excitation and tracking pulse axial focus	15 mm (pha.) 14 ± 3.6 mm (Human)	11.3 ± 0.5 mm
Minimum excitation pulse duration (t_{ARF}^{min} , ST-HMI)	28 µs	20 µs
Maximum excitation pulse duration (t_{ARF}^{max} , ST-	55 µs	30 µs
nivii)	60-420 Hz	
Oscillation frequency	(phantom)	200 Ца
(ST-HMI)	220 Hz	200 112
Oscillation avala number	(Human)	
(ST-HMI)	2-10	5
Single excitation pulse duration (ARFI)	87.5 μs	-
Tracking pulse number (ARFI)	110	-
Tracking pulse PRF	10 KHz	10 KHz
Spacing between RF-lines	0.59 mm	0.3 mm
RF-lines number per 2-D	34	14
Lateral field of view size	20 mm	4.2 mm
Normalized cross correlation parameter		
Interpolation factor	4	4
Kernel length	592 μm	295 μm
	ου μπι ·	ου μπ

* Aperture growth and dynamic Rx focusing enabled

56 RF-lines were acquired in a non-serial order across the lateral 57 FOV. First, a single RF-line was captured from the far left of 58 the FOV, then in the middle of the FOV, then one position to 59 the right of the far left, then one position to the right of the 4

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1 middle, and so on, such that no two consecutive RF-lines were $_{56}$ tumor cell using the parameters indicated in Table I with f_{HMI} = 14 between oscillation frequencies to keep the I_{SPTA} (i.e., the duty 69 acquisitions. 15 cycle of HMI excitation) constant. The duty cycle of excitation 16 was calculated as $100 * \sum_{i=1}^{N_{ep}} D^i / T_{HMI}$. The excitation duty $_{17}$ cycle was kept around 8% by using N_{ep} of 30, 18, 13, 10, 8, 7, 18 6, 5, 5, and 4 for *f_{HMI}* of 60, 100, 140, 180, 220, 260, 300, 340, 19 380, and 420 Hz, respectively. N_{cycle} and t_{offset} were fixed to 5 20 and 0.2 ms, respectively for all oscillation frequencies.

21 To investigate the effect of duty cycle on ST-HMI's 22 performance, the same two inclusions were imaged with 23 variable (duty cycle, N_{ep}) of (3.8%, 5), (6.36%, 8), (8.88%, 11), 24 and (11.36%, 14), but with fixed $f_{HMI} = 180$ Hz, $t_{offset} = 0.2$ ms 25 and $N_{cycle} = 5$. The impact of the oscillation cycle number was 26 investigated by varying N_{cycle} from 2 to 10 in steps of 2 with 27 fixed $f_{HMI} = 420$ Hz, $N_{ep} = 4$, and $t_{offset} = 0.2$ ms. Finally, the 28 toffset was varied from 0 to 0.6 ms in steps of 0.2 ms with fixed $_{29}f_{HMI} = 180$ Hz, $N_{ep} = 10$ and $N_{cycle} = 5$. There was a slight change 30 in the duty cycle (7.4-8.5%) due to the change in the t_{offset} .

³¹ For each case, six repeated acquisitions were performed by 32 moving the transducer in the elevational direction. The 33 acquisition time of ST-HMI data with 34 RF-lines took 34 approximately 5-7s with 0.1s interval between RF-lines. 35 Therefore, the frame rate was approximately 0.15-0.2 Hz.

D. Imaging of A breast cancer mouse model, In Vivo

The orthotropic, 4T1 breast cancer mouse model (N=4) was 37 38 used to investigate the performance of ST-HMI in monitoring 39 longitudinal changes in tumor stiffness. The induction of cancer 40 and imaging protocols were reviewed and approved by the 41 Columbia University Irving Medical Center (CUIMC) 42 Institutional Animal Care and Use Committee (IACUC). Eight 43 to ten-week-old female BALB/c mice were purchased from the ⁴⁴ Jackson Laboratory. Cancer was inducted by injecting 2 x 10⁵ 46 [45], [46].

47 ST-HMI of the anesthetized mice (1- 2% isoflurane in 48 oxygen) was performed using the same Verasonics research 49 system with L22-14vXLF (Vermon, Tours, France) linear 50 array. Imaging was performed by placing the mice in a supine 51 position on a heating pad with their abdominal hair removed. 52 The transducer was held in a steady position using a clamp and 53 was placed in a container filled with degassed water and an 54 acoustically transparent membrane at the center.

Mice were imaged at 1, 2, 3, and 4 weeks post-injection of

2 captured in two adjacent lateral locations. Therefore, this 57 200 Hz, $N_{ep} = 13$, $t_{offset} = 0.7$ ms, and $N_{cycle} = 5$. A 2-D HMI 3 scanning mode will also prevent interference in the tissue 58 image was formed by acquiring fourteen evenly spaced RF-4 mechanical response between consecutive RF-lines. Preceding 59 lines with 0.3 mm separation which resulted in approximately s each 2-D ST-HMI acquisition was one spatially-matched B- 60 4.2 mm lateral FOV in the ST-HMI images Note, the lateral size 6 mode image, with 128 lateral lines spanning approximately 38 61 of the excitation beam was 0.22 mm for the L22-14vXLF 7 mm. Besides evaluating the performance of ST-HMI in 62 transducer. One spatially-matched B-mode image was acquired s contrasting different stiffness inclusions and comparing the 63 with 128 lateral lines spanning approximately 13.6 mm, for 9 performance with ARFI, the impact of f_{HMI} , N_{ep} , t_{offset} , and N_{cycle} 64 anatomical reference. If the tumor size was larger than the ST-10 on the ST-HMI images was evaluated by imaging 15 ± 3 kPa 65 HMI lateral FOV, multiple acquisitions were acquired by 11 and 60 ± 10 kPa inclusions. The impact of oscillation frequency 66 mechanically translating the transducer in lateral directing 12 was investigated by varying f_{HMI} from 60 Hz to 420 Hz in steps 67 using a 3-D positioning system (Velmex Inc., Bloomfield, NY, 13 of 40 Hz. The number of excitation pulses per cycle was varied 68 USA). The final image was reconstructed from all the

E. Imaging of Patients with Breast Masses, In Vivo

The clinical performance of ST-HMI was evaluated by 72 imaging female patients with breast masses (N=3) following 73 human subjects protocol approval by the CUIMC Institutional 74 Review Board (IRB). Informed consent was obtained from all 75 enrolled subjects. Two patients with suspicious breast masses 76 were scheduled to undergo needle biopsy and one patient 77 diagnosed with invasive ductal carcinoma (IDC) was scheduled 78 for the breast segmentectomy. Similar to the phantom 79 experiments, ST-HMI was performed using the same 80 Verasonics research system with an L7-4 linear array with 81 parameters indicated in Table I. Patients were imaged in a 82 supine or lateral oblique position. The location and boundaries 83 of the tumors were confirmed by an experienced sonographer 84 in the B-mode ultrasound image. The transducer was hand-held 85 during imaging. Data were collected by orienting the transducer 86 parallel to the radial direction (i.e., line connecting center of 87 mass and nipple).

F. ST-HMI and ARFI Data Processing 88

For all the ST-HMI and ARFI acquisitions, channel data were 90 transferred to the computational workstation for offline 91 processing using MATLAB (MathWorks Inc., Natick, MA, 92 USA). A custom delay-and-sum beamforming [47] was applied 93 to construct beamformed radiofrequency (RF) data. Motion 94 tracking with respect to the reference tracking pulse was 95 performed using 1-D normalized cross-correlation (NCC) [48] 96 with parameters as indicated in Table I. After motion tracking, 97 a 3-D dataset (axial x lateral x time) describing axial 98 displacements over time was generated.

To generate a 2-D parametric image in ARFI [42], [43], a $_{45}$ 4T1 breast cancer cells in the 4th inguinal mammary fat pad $_{100}$ linear filter [49] was applied to the displacement versus time 101 profile at each axial x lateral pixel to reduce motion artifacts. 102 Then, the peak displacement (PD) over time was calculated 103 from each filtered displacement profile and rendered into a 2-D 104 parametric image. ARFI-derived PD images were normalized 105 to account for the variation in the ARF magnitude over the axial 106 range [50]. The normalized PD image was compared to the ST-107 HMI image.

> 108 To generate a 2-D parametric image in ST-HMI, the 109 differential displacements at each lateral x axial pixel were 110 computed by subtracting displacements between successive

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time points to remove the slowing varying motion. Then, the desired oscillation of f_{HMI} Hz was filtered out using a secondorder Butterworth bandpass filter (*butter* and *filter* function).

4 The cutoff values of the bandpass filter were selected s adaptively for each data acquisition. The cutoff values were $_{6}$ calculated by finding the 1st minima around f_{HMI} in fast Fourier 7 transform (FFT) (see green circle in Fig. 4 (c)). The minimum $_{8}$ FFT magnitude around f_{HMI} was found by calculating the 9 successive difference in magnitude and then, finding the change 10 in sign (sign function) in the differential magnitude. As an 11 example, the sign of differential magnitude was changed from 12 negative to positive and positive to negative for lower and 13 higher cutoff values. The adaptive cutoff values were calculated 14 at (axial, lateral) location of ([focal depth and focal depth ± 5 15 mm], [-9.5, -4.5, 0.5, 5.5, and 9.5 mm]) and ([focal depth], [-16 2.0, -1.0, 0.0, 1.0, and 2.0 mm]) for L7-4 and L22-14vXLF 17 transducers, respectively instead of all pixels to expedite the 18 data processing. Then, the final lowest and highest cutoff values 19 for filtering all pixels were the medians of lower and higher 20 cutoff values derived at the selected locations. The filtered 21 displacement profile at each pixel was integrated (cumsum 22 function in MATLAB) and normalized to a zero mean (i.e., the 23 mean was subtracted from the integrated-filtered displacement 24 profile). Using the integrated-filtered displacement profile, the 25 average peak-to-peak displacement (P2PD) over N_{cycle} cycles 26 was calculated at each axial x lateral pixel, and then, rendered 27 into a 2-D parametric image (see Fig. 5(b)).

The P2PD is a function of the ARF amplitude which varies 28 29 over the axial range. Therefore, the depth-dependent variation 30 in P2PD must be normalized before the P2PD can be compared 31 over the axial range. The normalizing term $\overline{P2PD(x)}$ was 32 derived as the median P2PD(x) over a lateral range in a 33 reference region which is a presumed mechanically 34 homogeneous region. Therefore, the median P2PD over a $_{35}$ lateral range was computed for each axial location (x). Then, 36 the final normalized 2-D P2PD image was constructed by 37 dividing each lateral line by the normalizing term $\overline{P2PD(x)}$. 38 Therefore, the final normalized P2PD image represented the 39 stiffness with respect to the stiffness of the reference region i.e., 40 if a pixel with a normalized P2PD value of 2 means the pixel is 41 2 times softer than the pixel at the corresponding depth in the 42 reference regions. A similar normalization technique was 43 performed for ARFI [50] and VisR images [31]. Fig. 1 depicts 44 a flow chart representing the processing steps implemented to 45 generate normalized P2PD images in the ST-HMI imaging.

⁴⁶ The acquired ST-HMI data were processed offline in a 2.2 ⁴⁷ GHz Intel Xeon platinum processor using 16 cores parallel ⁴⁸ processor. Depending on the oscillation frequency, it took 3-4 ⁴⁹ min to process data from performing the delay-and-sum ⁵⁰ beamforming to generating the final normalized P2PD image. ⁵¹ Note, higher oscillation frequencies have a shorter period and ⁵² take a shorter time to process the data. The computational time ⁵³ can be reduced by implementing ST-HMI data processing ⁵⁴ pipelines (Fig. 1) in CUDA GPU.

55 G. Image Quality Metrics

56 Contrast and contrast-to-noise ratio (CNR) of ST-HMI and



Fig 1: Data processing steps employed to generate ST-HMIderived peak-2-peak displacement (P2PD) image. DAS = Dealy-and-sum; NCC = Normalized cross-correlation;



Fig 2: ST-HMI pulse sequence with the duration of excitation (red) and tracking (blue and green) pulse for 220-Hz oscillation frequency, 0.2 ms offset, and 8 excitation pulses per cycle. Y-axis contains a break to accommodate the difference in excitation and tracking pulse duration. The duration of excitation pulses is variable to generate amplitude-modulated force whereas the duration of tracking pulses is fixed. Displacement was estimated with respect to the reference tracking pulse (green).

⁵⁷ ARFI-derived inclusions' images were calculated for the ⁵⁸ quantitative comparison. For contrast and CNR calculations, ⁵⁹ the inclusion's region of interest (ROI) was defined as the ⁶⁰ concentric circle with 80% of the corresponding inclusion's ⁶¹ radius. The background ROI was defined as a ring surrounding ⁶² the inclusion, with an inner radius of 120% of the corresponding ⁶³ inclusion' radius. The outer radius was varied between the ⁶⁴ inclusions depending on their size so that the inclusion's and ⁶⁵ background's ROI had equal areas (see Fig. 7). Contrast and ⁶⁶ CNR were calculated as $|\mu_{INC} - \mu_{BKD}| / \mu_{BKD}$ and





Fig 3: (a) Focused excitation and tracking beams electronically translated across lateral field to generate a 2-D image in ST-HMI. (b) One RF-line consists of reference, excitation, and tracking pulses with several cycles of 220 Hz oscillation.

 ${}_{2}\sigma$ are the median and standard deviation of normalized 3 displacements in the inclusion's (INC) and background's 4(BKD) ROI. To compare the P2PD ratio of background to sinclusion with Young's moduli ratio of inclusion to 6 background, a rectangular ROI (see Fig. 7) was used to avoid 7 the boundary effects. The inclusion's ROI was defined as a s rectangle with a height and width of 40% of the inclusion's 9 radius. The background's ROI was defined as the two 10 rectangles positioned 3.5 mm from the inclusion's boundary, 12 equal to half of the inclusion's ROI width. The inclusion's 13 boundary was derived from the B-mode image (see Fig. 5a).

H. Statistical Analysis 14

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All statistical analyses were carried out using MATLAB. 16 Nine separate two-sample Wilcoxon signed rank-sum tests 17 (signrank function) were carried out to compare ARFI versus 18 ST-HMI-derived contrast, CNR, and displacement ratio of 8, 19 10, and 15 kPa inclusions. Ten separate Kruskal-Wallis tests 20 (kruskalwallis function), were carried out to compare the 21 contrast and CNR of ST-HMI derived images across different 23 pulse duty cycles, across oscillation cycle numbers, and across If any group was statistically 24 excitation pulse offsets. 25 significant, two-sample Wilcoxon signed rank-sum tests were $_{27}$ The R² of the linear regression between the P2PD ratio and

 $|\mu_{INC} - \mu_{BKD}| / \sqrt{(\sigma_{INC}^2 + \sigma_{BKD}^2)}$, respectively, where, μ and ²⁸ Young's moduli ratio was calculated. Two separate Kruskal-29 Wallis tests were carried out to compare tumor diameters and 30 P2PD ratios across imaging time points. Two-sample Wilcoxon 31 rank-sum tests (ranksum function) were used to find which 32 combination was statistically significant. For all the analyses, ³³ the statistical significance was based on a two-sided α of 0.05.

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III. RESULTS

³⁵ Fig. 2 shows excitation (red) and tracking (blue and green) ³⁶ pulse sequence for one-period oscillation with $f_{HMI} = 220$ Hz, 11 each with a height equal to the inclusion's ROI height and width $37 N_{ep} = 8$, and $t_{offset} = 0.2$ ms. The duration of excitation pulses 38 was varied to generate AM-ARF whereas the tracking pulse 39 duration was fixed. This pulse sequence was repeated to 40 generate 5 cycles of oscillation at each RF line. The MI0.3, 41 ISPTA, 0.3, and ISPPA, 0.3 associated with the sequence were 1.37, 42 10.5 Wcm⁻², and 194.38 Wcm⁻², respectively. The mean ⁴³ temperature rise due to the entire beam sequence was 0.4°C and 44 0.6°C at the focal depth (20 mm) and the surface of the 45 transducer, respectively.

⁴⁶ Fig 3 shows the excitation and tracking beams sequence to 47 generate a 2-D image in ST-HMI. Focused excitation and 48 tracking beams were electronically translated across the lateral 22 inclusions, across oscillation frequencies, across excitation 49 field to generate a 2-D image (panel (a)). The number of 50 elements in the sub-aperture to generates excitation and 51 tracking beams depends on the F-number and focal depth. Panel 52 (b) shows that one RF-line with several cycles of 220 Hz 26 used to find which combination was statistically significant. 53 oscillation at each lateral location was generated by 54 transmitting reference, excitation, and tracking pulses.

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Fig. 4: ST-HMI derived (a) displacement profiles (b) differential displacement between successive time points (c) magnitude spectrum of fast Fourier transform (FFT) of the differential displacement profiles (d) filtered displacement profiles in 15 kPa inclusion (blue) and 5 kPa background (red). Green circles represent cutoff values for the bandpass filter. ST-HMI oscillation frequency was 220 Hz with 0.2 ms offset and 8 excitation pulses per cycle.



Fig 5: (a) Bmode ultrasound image and (b) ST-HMI derived peak-to-peak displacement (P2PD) image of a 15 kPa inclusion embedded in a 5 kPa background. (c) Axial distance versus median P2PD over a lateral distance of [-10 -8.2] and [7.9 9.7] mm. (d) Normalized P2PD of the same inclusion. Magenta contour represents the inclusion boundary derived from the B-mode ultrasound image. Arrowhead in the B-mode image indicates slightly hypoechoic regions in the inclusion's boundary.

² function of time in 5 kPa background (red) and 15 kPa inclusion ³² inclusion, respectively. 3 (blue), respectively. The differential displacements of the same 33 Fig. 7 qualitatively compares the normalized P2PD images of ¹⁰ for the background and inclusion, respectively.

11 17 P2PD over a lateral distance of [-10 -8.2] and [7.9 9.7] mm.

23 kPa inclusions. The (mean, standard deviation) of ST-HMI- 53 Hz. 24 derived normalized P2PD and ARFI-derived normalized PD of 54 Fig. 9 qualitatively demonstrates the impact of the oscillation 30 were (0.23, 0.24) and (2.1, 2.1) for 8 kPa, (0.38, 0.31) and (3.2, 60 inclusion more (60 kPa versus 15 kPa).

Fig. 4(a) shows two representative displacement profiles as a 31 3.3) for 10 kPa, and (0.46, 0.40) and (4.2, 4.3) for 15 kPa

4 two profiles are shown in panel (b). The envelope of differential 34 8, 10, 15, 20, 40, and 60 kPa inclusions embedded in a 5 kPa 5 displacements clearly underwent a 220-Hz oscillation which 35 background. Note, the ST-HMI images of 8, 10, and 15 kPa 6 was confirmed by the peak at 220 Hz in the FFT of differential 36 inclusions were at a slightly different elevational plane in Figs. 7 displacement profiles (panel (c)). The filtered displacement, 37 6 versus 7. The inclusion's contrast increased with the 8 shown in panel (d), contained only 220-Hz oscillation. The 38 inclusion's Young's modulus, which is also evident in Fig. 8. 9 average P2PDs from the filtered profiles were 0.74 and 0.38 µm 39 Fig. 8(a) shows that the contrast and CNR of both ARFI and 40 ST-HMI-derived images increased with Young's moduli ratio A 2-D image was generated by calculating P2PD at each pixel 41 of inclusion to background. The contrast was not statistically 12 (Fig. 5(b)). However, the P2PD varied over the axial range due 42 different between ARFI versus ST-HMI images of 8 kPa 13 to the variation in ARF amplitude over depth (panel (b) and (c)). 43 inclusion but was statistically different between ST-HMI 14 The depth normalization profile (panel (c)) was calculated from 44 versus ARFI images of 10 and 15 kPa inclusions. The CNR was 15 the background to generate the normalized P2PD image (panel 45 not statistically different between ARFI versus ST-HMI images 16 (d)). The normalization profile was generated by averaging 46 of any of the three inclusions images. The ST-HMI-derived 47 P2PD ratios of background to inclusion were highly correlated 18 Fig. 6 qualitatively compares the ST-HMI and ARFI-derived 48 with Young's moduli ratios of inclusion to background (panel $_{19}$ images of a homogeneous region in a 5 kPa background and 8, $_{49}$ (b)) with R²=0.93. The ARFI-derived PD ratio was not 20 10, and 15 kPa inclusions embedded in a 5 kPa background. 50 statistically different from the ST-HMI-derived P2PD ratio in 21 Qualitatively, ST-HMI-derived normalized P2PD and ARFI- 51 the 8 kPa inclusion but was statistically different for 10 and 15 22 derived normalized PD images look very similar except for 15 s2 kPa inclusions. Note, all inclusions were imaged with $f_{HMI} = 220$

25 the homogeneous background was (1.008, 0.049) and (1.008, 55 frequency in contrasting 15 and 60 kPa inclusions. Two $_{26}$ 0.047), respectively. The coefficient of variation (CoV = 100^{*} 56 observations are notable. First, the perceived size of the 27 standard deviation/mean) of P2PD and PD was 4.86% and 57 inclusion in the ST-HMI image became similar to the true size 28 4.66%, respectively which indicate images were homogeneous. 58 with higher frequencies in both phantoms. Second, lower 29 The contrast and CNR of (ST-HMI, ARFI)-derived images 59 frequencies (60, 100, and 180 Hz) distorted the size of the stiffer



Fig 6: ST-HMI derived normalized peak-to-peak displacement (left column) and ARFI-derived normalized peak displacement (right column) images of homogenous background (BKD, 1st row) and 8 kPa (2nd row), 10 kPa (3rd row) and 15 kPa (4th row) inclusions. Magenta contour represents the inclusion boundary derived from the B-mode ultrasound image.

¹ Fig. 10 demonstrates that the contrast and CNR of 15 and 60 ² kPa inclusions increased with frequencies until 140 and 220 Hz, ³ respectively, and then, reached a plateau. The Kruskal–Wallis ⁴ test suggested that contrast and CNR were statistically different ⁵ across frequencies for both inclusions. The highest median ⁶ (contrast, CNR) occurred at (180, 300) Hz and (180, 260) Hz 7 for 15 and 60 kPa inclusions, respectively. The signed ranksum
8 test rules that the contrast at 180 Hz was statistically different
9 from all other frequencies for both inclusions. The CNRs at 300
10 and 260 Hz were statistically different from all the frequencies
11 except 260 Hz and the frequencies less than 220 Hz for 15 and
12 60 kPa inclusions, respectively.

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Fig. 11 shows the effect of the excitation duty cycle (left 14 column), oscillation cycle number (center column), and 15 excitation pulse offset (right column) on the contrast (top row) 16 and CNR (bottom row) of 15 and 60-kPa inclusions. Four 17 observations are notable. First, the highest median contrast and 18 CNR were achieved for duty cycle, cycle number, and offset of 19 (6.36, 11.36) %, (2, 2), (0.4, 0.4) ms, and (8.88, 11.36) %, (10, 20 10), (0.4, 0.6) ms for (15, 60) kPa inclusions respectively. 21 Second, the contrast and CNR did not change significantly after 22 the duty cycle of 3.82% and 6.36% for 60 and 15 kPa inclusion, 23 respectively. Third, the contrast was generally higher for the 24 lower oscillation cycle number, but CNR was higher for higher 25 cycle numbers. Fourth, the CNR was not impacted by the 26 change in offset and contrast did not change for offsets greater 27 than 0 ms for both phantoms. The lowest contrast was achieved 28 at $t_{offset} = 0$ ms which also had the lowest duty cycle.

²⁹ Fig. 12 shows the normalized P2PD images of a ³⁰ representative mouse tumor at 1, 2, 3, and 4 weeks post-³¹ injection of the tumor cell. The depth-dependent profiles were ³² generated from the leftmost 2 mm lateral FOV in the non-³³ cancerous tissue (i.e., background). Two observations are ³⁴ notable. First, the tumor grew over time. Second, the P2PD at ³⁵ the tumor with respect to the non-cancerous tissues decreased ³⁶ over time. These observations are quantitatively demonstrated ³⁷ in Fig. 13, which shows that tumor diameters and the P2PD ³⁸ ratios of non-cancerous tissues to tumor increased over time. ³⁹ The median P2PD was 3.0, 5.1, 6.1, and 7.7 at 1, 2, 3, and 4 ⁴⁰ weeks, respectively. The P2PD ratio was calculated using the ⁴¹ ROI shown in Fig. 12.

⁴² Fig. 14 shows the normalized P2PD image overlaid on the B-⁴³ mode ultrasound image of human breast masses with ⁴⁴ fibroadenoma (23 yr., FA), pseudo angiomatous stromal ⁴⁵ hyperplasia (65 yr., PASH), and invasive ductal carcinoma (54 ⁴⁶ yr., IDC). The median P2PD ratio of non-cancerous tissues to ⁴⁷ tumor was 1.37, 1.61, and 1.78 in patients with FA, PASH, and ⁴⁸ IDC, respectively. The ST-HMI was able to detect as small as ⁴⁹ a 4 mm tumor (IDC).



Fig 7: (a) ST-HMI derived normalized peak-to-peak displacement (P2PD) images of 8, 10, 14, 15, 20, 40, and 60 kPa inclusions embedded in a 5-kPa background of two commercial phantoms. Magenta contour represents the inclusion boundary derived from the B-mode ultrasound image. Circular and rectangular contours in the background (black) and inclusion (white) represent the region of interest for the calculation of image quality metrics and the comparison of the P2PD ratio versus the Young's moduli ratio, respectively.

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IV. DISCUSSION

2 A novel method, named ST-HMI, to assess the mechanical 3 properties of tissue "on-axis" to the ARF was presented herein. 4 This novel method uses a single transducer to both generate and 5 track harmonic oscillations at the ARF-region of excitation 6 (ROE) by interleaving the tracking pulses in between the 7 excitation pulses. The harmonic oscillation was generated by 8 modulating the excitation pulse duration and the P2PD was 9 calculated after filtering out oscillation frequency (i.e., 10 fundamental frequency). The name harmonic oscillation might 11 be confusing from the signal processing perspective because



Fig. 8: (a) Contrast (red/magenta, left y-axis) and CNR (blue/cyan, right y-axis) of ARFI and ST-HMI-derived images versus Young's moduli ratio of inclusion (INC) to background (BKD). The ARFI imaging was performed only on 8, 10, and 15 kPa inclusions. The contrast was not statistically different between ARFI verus ST-HMI images of 8 kPa inclusion but was statistically different between ARFI verus ST-HMI images of 10 and 15 kPa inclusions (signed ranksum, p<0.05). The CNR was not statistically different between ARFI verus ST-HMI images of any of the three inclusions. All combinations of CNR and contrast of the ST-HMI images were statistically significant (signed ranksum, p<0.05). (b) ST-HMI-derived Peak-to-peak displacement (P2PD) and ARFI-derived peak displacment (PD) ratio of background to inclusion versus Young's moduli ratio of inclusion to background with R² value. The numerator and denominator are interchanged in the abscissa and ordinate's ratio as the Young's modulus and P2PD/PD are inversely related. Data are plotted as median $\pm 0.5^*$ interguartile range over 6 repeated acquisitions.

¹² harmonic frequency means integer multiple of the fundamental ¹³ frequency in signal processing. However, in mechanics, ¹⁴ harmonic motion usually means when the material oscillates ¹⁵ around its original location due to a sinusoidally varying force ¹⁶ at a specific frequency. The harmonic motion has been used to ¹⁷ describe single fundamental frequency oscillation in the ¹⁸ magnetic resonance elastography [51], [52] and ultrasound ¹⁹ elastography [20], [53], [54].

The obvious advantage of ST-HMI over conventional HMI 1 is its simplicity compared to the two-transducers and 22 mechanical 3-D positioner based set-up of the conventional 33 HMI. ST-HMI uses discrete excitation pulses whereas the 44 conventional HMI uses continuous excitation pulses and 25 monitors tissue deformation during the excitation pulse. The 26 tissue mechanical response timing and overall type will be 27 fundamentally different for continuous versus discontinuous 28 excitation pulses. Future studies will rigorously compare the 29 mechanical response of continuous versus discrete excitation 30 pulses and how it impacts inclusion's CNR and contrast.

³¹ In addition to the HMI, the mechanical response of tissue is 32 also different in ST-HMI versus ARFI. An excitation pulse with 33 a fixed duration is used to generate force in ARFI and the 34 motion is tracked after the cessation of the force [18]. 35 Therefore, the energy of the ARFI-induced motion is spread 36 over the broadband frequency range (0-2000 Hz). However, the 37 energy of the ST-HMI-induced motion is contained 38 predominantly in the modulating frequency (Fig. 4c). Despite 39 these differences, there was no statistical difference in CNR 40 between ST-HMI versus ARFI-derived images (Fig. 8a). 41 However, the contrast was higher in ST-HMI versus ARFI-42 derived inclusions' image (Fig.8a). Despite the contrast of ST-43 HMI images being higher, no difference in CNR may be due to 44 the higher standard deviation of the P2PD values in the 45 homogenous region (Fig. 6). Higher standard deviation may be 46 due to the local inhomogeneity in the background and inclusion 47 materials or may be inherent in the ST-HMI data processing due 48 to the estimation of motion at a particular frequency. However, 49 one advantage of ST-HMI is that oscillation frequency can be 50 optimized to improve the inclusions' CNR and contrast (Fig. 51 10). Note, the ST-HMI with an oscillation frequency of 220 Hz 52 was compared with the ARFI. Future studies will rigorously 53 compare ARFI versus ST-HMI with optimized oscillation 54 frequency in different stiffness and size inclusions.

Another potential advantage of ST-HMI over ARFI [18] is 56 that the ST-HMI is robust against different kinds of motion 57 artifacts because the motion at the input oscillation frequency 58 can be easily filtered from reverberation, movement, and 59 breathing artifacts. However, different kinds of motion filters 60 have been developed to remove the motion artifacts from the 61 ARFI images [49], [55]. To rigorously compare the 62 performance of ST-HMI versus ARFI with motion filters with 63 and without the presence of motion artifacts is the topic of 64 future studies.

65 Similar to HMI and ARFI, ST-HMI is also different from the 66 "off-axis" shear wave-based methods like supersonic shear 67 imaging [25], shear wave imaging [23], SDUV [39], or HSWI

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Fig 9: ST-HMI derived normalized peak-to-peak displacement images of 15 kPa (top row) and 60 kPa (bottom row) inclusions embedded in a 5 kPa background for oscillation frequency of 60 Hz (1st column), 100 Hz (2nd column),180 Hz (3rd column), 260 Hz (4th column), 300 Hz (5th column), and 420 Hz (6th column). Magenta contour represents the inclusion boundary derived from the B-mode ultrasound image.



Fig 10: (a) Contrast and (b) CNR of the ST-HMI derived peak-to-peak displacement images of 15 kPa (blue) and 60 kPa (red) inclusions as a function of oscillation frequency. Data are plotted as median ± 0.5*interquartile range over 6 repeated acquisitions. The Kruskal–Wallis test suggested that contrast and CNR were statistically different across frequencies for both inclusions. For clarity, median contrast and CNR at frequencies that were statistically different (sign ranksum) from the highest median contrast (180 Hz for both inclusions) and CNR (300 and 260 Hz for 15 and 60 kPa inclusions) are shown. Blue and red asterisk (*) represent statistical significance for 15 and 60 kPa inclusions, respectively.



Fig. 11: Contrast (top row) and CNR (bottom row) of the ST-HMI derived peak-to-peak displacement images of 15 kPa (blue) and 60 kPa (red) inclusions versus HMI excitation duty cycle (left column), oscillation cycle number (center column), and excitation pulse offset (right column). Data are plotted as median ± 0.5*interquartile range over 6 repeated acquisitions. For clarity, the asterisk is only shown when Kruskal–Wallis test suggests a statistical difference and median contrast and CNR were statistically different from the highest median contrast and CNR.

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Fig 12: The normalized peak-to-peak displacement image overlaid on the B-mode ultrasound image of an orthotropic, 4T1 mouse tumor at 1, 2, 3, and 4-weeks post-injection of cancer cells. Magenta, black and white contours represent tumor boundary, the region of interest (ROI) in the non-cancerous tissues, and ROI in the tumor, respectively.

1 [40] in terms of estimating the mechanical properties of tissues. 43 tracked at the ARF-ROE and a 2-D image is formed by 24 of ongoing studies.

25 26 normalizing the P2PD image by a profile estimated in the 68 experimenting in the commercially available phantoms, breast 27 homogeneous region of the image. The normalization profile 28 can be generated from separate measurements in the 29 experimental or in silico phantoms as it was done for ARFI 30 displacement images [56]. To quantify the stiffness from the 31 displacement, knowledge of force magnitude is needed. Note, 32 displacement is proportional to the force magnitude. The ARF 33 magnitude (F) is given by $F = 2\alpha I/c$ [17] where $\alpha = acoustic$ $_{34}$ attenuation, I = time average intensity, and c = speed of sound. 35 A look-up table or machine learning [57] based approach can 36 be devised to quantify stiffness from the P2PD with the $_{37}$ knowledge of α , I, and c in the imaged tissue.

Besides the estimation of the mechanical properties, the beam 38 39 sequence to generate a 2-D image is fundamentally different 40 between ST-HMI versus HSWI [40]. In HSWI, a 2-D image is 41 formed by tracking harmonic motion using plane-wave away 42 from the ARF-ROE. But in ST-HMI, harmonic motion is

2 While the current implementation of ST-HMI provides relative 44 electronically translating both excitation and tracking beams 3 stiffness (i.e, stiffness of inclusion/tumors with respect to the 45 across the lateral field (Fig. 3). The lateral FOV is fixed to 20 4 background/healthy tissue), shear wave-based methods provide 46 mm for the L7-4 transducer to generate excitation and tracking s quantitative mechanical parameters. Despite this limitation, ST- 47 beam F-number of 2.25 and 1.75 at the focal depth of 30 mm 6 HMI may have three advantages over shear wave methods. 48 using 44 and 57 transducer elements, respectively. Note, the 7 First, ST-HMI interrogates mechanical properties at the ARF- 49 tracking pulse F-number has to be lower than the excitation ⁸ ROE immediately following the ARF excitation. Therefore, the ⁵⁰ pulse F-number to reduce jitter and displacement 9 displacement will be less distorted by tissue heterogeneity and 51 underestimation [58]. As the 57 elements were used to generate 10 reflected shear waves. Second, ST-HMI may support finer 52 one RF-line, the FOV was smaller than the transducer aperture 11 resolution of the mechanical properties compared to the shear 53 (Fig. 3). For focusing above 30 mm, the lateral FOV can be 12 wave-based method as the shear wave-based methods need a 2- 54 larger than 20 mm. However, lateral FOV was kept to 20 mm 13.5 mm lateral average kernel whereas ST-HMI interrogates 55 throughout all experiments for the L7-4 transducer so that the 14 mechanical parameters pixel by pixel basis at the ARF-ROE. 56 phantom and human images had the same lateral FOV 15 Hollender *et al.* showed that the mechanical resolution of ARFI 57 irrespective of the focal depth. There is a tradeoff between the 16 performs better than the shear wave imaging [28]. Third, 58 selection of F-number and FOV size. Higher F-number will 17 displacements are greatest at on-axis to ARF excitation and 59 have higher FOV with lower intensity pulse (i.e., lower 18 reduced with shear wave propagation due to dispersion and 60 displacement) or vice versa. To have displacement above the 19 diffraction, thus, ST-HMI may assess mechanical properties in 61 Cramer-Rao Lower Bound [59], the FOV for L7-4 was fixed to 20 deeper organs, obese patients, and/or stiffer tissues. 62 20 mm which enabled us to use an F-number of 1.75 at a focal 21 Systematically comparing ST-HMI to shear wave-based 63 depth of 30 mm in this work. However, the F-number can be 22 methods in terms of mechanical resolution, performance in 64 changed depending on the depth and imaging organ to have a 23 heterogeneous media, and the maximum focal depth are topics 65 larger FOV. Another way to increase the FOV is to use a 66 transducer with a larger aperture.

The relative stiffness in ST-HMI was generated by 67 This study demonstrates the initial feasibility of ST-HMI by



Fig 13: Peak-to-peak (P2PD) displacement ratio of the healthy tissue (BKD) to the tumor (red, left y-axis) and tumor diameter (blue, right v-axis) as a function of time after tumor cell injection. Data are plotted as median ± 05*inter-quartile range over 4 mice. The Kruskal-Wallis test suggests both P2PD ratio and diameter were statistically different across time points. Asterisk (*) represents statistically significant P2PD ratios and diameters between two imaging time points.



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Fig. 14: Normalized peak-to-peak displacements (P2PD) image overlaid on the B-mode ultrasound image of patients with Fibroadenoma, pseudo angiomatous stromal hyperplasia, and invasive ductal carcinoma with respective median P2PD ratio of non-cancerous tissue over tumor at the bottom. Magenta, black and white contours represent tumor boundary, region of interest (ROI) in the non-cancerous tissue, and ROI in the tumor, respectively.

17 range.

18 38 10).

39 40 ST-HMI images depends on the oscillation frequency because 80 number, and excitation pulse offset did not have a larger impact

1 cancer mouse model, and patients with breast masses. The ST- 41 the wavelength of generated shear waves within the ARF ² HMI-derived P2PD images contrasted 8, 10, 14, 15, 20, 40, and ⁴² excitation beam depends on the oscillation frequency and 3 60 kPa inclusions embedded in 5 kPa background (Fig. 7). The 43 stiffness (i.e. shear wave speed). In a material with fixed 4 normalized P2PD values were different between left versus 44 stiffness, the wavelength will be higher for lower frequency and s right background ROI of a 10 kPa inclusion (Fig. 7). 45 it will average over a larger area that leads to a higher perceived 6 Quantitatively, the difference in median normalized P2PD in 46 size of the inclusion for lower frequency. For a fixed oscillation, 7 left versus right ROI was 0.12 (1.08-0.96) which was very 47 the wavelength will be larger for the stiffer materials (i.e., 8 small. This may be due to a slight difference in Young's 48 higher shear wave speed). As a result, the perceived size will be 9 modulus of the left versus right background. Note, the 49 larger in a stiffer material for a fixed oscillation. As an example 10 manufacturer provided Young's modulus of background is 5 ± 50 at 180 Hz oscillation frequency, the perceived size was similar 11 kPa. Though inclusions with 10 ± 1 mm diameter were s1 to the true size of 15 kPa inclusion whereas the perceived size 12 targeted to image, the perceived size varied between inclusions. 52 was higher than the true size of 60 kPa inclusion (Fig. 9). Note, 13 This may be due to the difference in transducer pressure on the 53 the ST-HMI interrogates mechanical properties at the ARF-14 surface of phantoms during imaging, use of the same oscillation 54 ROE without observing shear wave propagation away from the 15 frequency, and/or difference in true sizes. However, the 55 ARF-ROE. Therefore, the impact of oscillation on the 16 perceived size was within the manufacturer-provided error 56 perceived size of inclusions was observed mainly in the axial 57 direction. There was not much distortion of inclusion's The P2PD ratio increased with Young's moduli ratio with R^2_{58} boundary in the lateral direction except for 60 Hz. A similar 19 = 0.93 (Fig. 8(b)). Linear regression was used to derive the R². 59 impact of frequency on the perceived size of inclusions was 20 The relationship between the ST-HMI-derived P2PD ratio and 60 observed in the shear wave derived local phase velocity images 21 Young's moduli ratio may not be linear. In a purely elastic 61 [60], [61]. Note, the oscillation frequencies from 60 to 420 Hz 22 material with point force, the relationship is expected to be 62 were used to interrogate 15 and 60 kPa inclusions. The 23 linear. However, complex inertia due to 3-D volumetric ARF 63 oscillation frequency lower or higher than this range can be 24 [21] and the presence of viscosity [31] may render the 64 achieved in ST-HMI. The minimum oscillation frequency will 25 relationship non-linear. The manufacturer-provided nominal 65 be limited by the ultrasound system's capability to quickly 26 median Young's modulus was used to calculate the R² value. 66 charge the power supply and transducer's durability to 27 The R² value may increase if the correct relationship and 67 withstand long excitation pulses. However, the tracking pulse 28 Young's modulus are used. In addition to high correlation, the 68 PRF and the number of excitation pulses per cycle will define 29 ST-HMI-derived contrast and CNR were statistically different 69 the maximum oscillation frequency. The Nyquist rate will limit 30 between all pairs of inclusions which suggests that the ST-HMI 70 the minimum number of excitation pulses per cycle. For 31 can distinguish two inclusions when the minimum stiffness 71 example, a minimum of 2 excitation pulses per cycle is needed 32 difference was 16.6% (12 versus 15 kPa). However, this 72 to construct a 1000 Hz oscillation frequency. However, the 33 minimum distinction was based on the nominal Young's 73 excitation pulses higher than the limit set by the Nyquist rate 34 modulus provided by the manufacturer. The ST-HMI 74 may be needed for better realization of the oscillation. The 35 detectability of inclusion can be improved by selecting an 75 maximum oscillation frequency of 1000 Hz can be attainable 36 optimal frequency as the contrast and CNR of the ST-HMI- 76 with 3 excitation pulses per cycle and a PRF of 10 kHz. Future 37 derived images depend on the oscillation frequency (Figs. 9 and 77 work will explore the use of multi-frequency oscillation with a 78 higher frequency range to achieve maximum contrast and CNR. Fig. 9 indicates that the perceived size of the inclusion in the 79 Other parameters such as excitation pulse duty cycle, cycle

13

7 performance. It will aid to implement the ST-HMI in low-cost 63 point. 8 ultrasound systems, which cannot generate a longer excitation 64 To the best of our knowledge, this study is the first in vivo 9 pulse due to memory and/or power supply constraints. Note, the 65 study to use a high-frequency (15.63 MHz) ultrasound array 10 CNR and contrast were calculated based on the inclusion's 66 (L22-14vXLF) for both generating ARF and tracking ARF-11 boundary derived from the B-mode image. Even though 67 induced motion. The aperture size of the L22-14vXLF was 12 12 inclusion and background are isoechoic, there is a slight change 68 mm which is smaller than the 38 mm aperture size of the L7-4 13 in the echogenicity at the boundary which guides us to draw the 69 transducer. Similar to L7-4, the excitation and tracking pulse F-14 boundary (arrowhead in Fig.5a). However, the change in 70 numbers were fixed to 2.25 and 1.75, respectively which is echogenicity was not present in the entire inclusion's 71 resulted in approximately 4.2 mm lateral FOV in the L22-16 circumference. An approximate circle was drawn based on the 72 14vXLF-generated images. The 4.2 mm lateral FOV contained 17 visible change in the echogenicity in the boundary. The 73 14 RF-lines that were acquired using electronic translation. 18 derivation of the boundary from the B-mode images may bias 74 Therefore, the ST-HMI working principle thus still holds for 19 the calculation of CNR and contrast. However, the same 75 L22-14vXLF-generated images. However, if the tumor was 20 inclusion boundary was used to compare ST-HMI versus ARFI 76 larger than 3 mm, the transducer was mechanically translated to 21 and investigate the impact of oscillation frequency, excitation 77 cover both the tumor and surrounding tissues. The performance 22 pulse per cycle, oscillation pulse number, and excitation pulse 78 of ST-HMI can be improved for small animal imaging by using 23 offset on the ST-HMI images.

25 phantoms are the idealistic representation of tissues. In vivo 81 2rd versus 3rd week and 3rd versus 4th week. This might be due $_{26}$ performance of ST-HMI was evaluated by monitoring $_{82}$ to the small number of mice used in the study (N = 4). As the 27 longitudinal changes in stiffness of mouse breast cancer and 83 lateral FOV of the ST-HMI image using L22-14vXLF was 4 28 human breast masses. The perceived tumor's boundaries in the 84 mm, acquisitions at different locations were stitched together to 29 ST-HMI images did not always match (2nd and 4th column in 85 form the final image which may introduce some errors. As the 30 Fig. 12) with the boundary derived from the B-mode ultrasound 86 normalizing profile was generated from the homogeneous non-31 images (magenta contour in Fig. 12). It may be due to the 87 cancerous tissues, the normalization process may induce errors 32 heterogeneous nature of the tumor which may be yielded to 88 if there is no healthy tissue (axial depth of around 8-11 mm). 33 heterogenous P2PD values in tumors. Note, it has been 89 To solve this problem, we extrapolated the normalizing profile 34 demonstrated that the stiffness of the tumor depends on its 90 by fitting it to a Gaussian function. It may still induce some as composition (fibrosis, necrosis, or cellular tissue) [62]. The 91 errors. That's why the ROI in the tumor was selected to match 36 P2PD in the background below the tumor was lower than the 92 the available depth in the healthy tissue instead of the whole 37 background beside the tumor. It may be due to the difference in 93 tumor. Finally, in the clinical study, ST-HMI detected three 39 The tumor may be also highly attenuating. The higher 95 breast mass (IDC) was stiffer than the benign breast masses (FA 40 attenuation reduced the ARF magnitude below the tumor which 96 and PASH) with respect to the nearest non-cancerous tissues. 41 may be resulted in lower P2PD values. Future studies will 97 Previous ultrasound elastography based studies showed that 42 compare the heterogeneity of ST-HMI-derived P2PD values of 98 malignant breast tumors are stiffer than benign tumors [64] [7], 43 tumor and background with the histopathological findings and 99 [65]. However, more patients are needed to confirm similar 44 correct for the attenuation difference between background and 100 findings using ST-HMI. The normalized P2PD values of non-45 tumor.

47 stiffer compared to the nearest non-cancerous tissues over time, 103 (black contour) of the IDC patients were greater than one. It 48 with the cancerous cells ingression. Previously, it has been 104 may be due to the inherent heterogeneity in breast tissue 49 demonstrated in the xenograft breast cancer mouse model that 105 composition. The breast consists of fibroglandular tissue, fatty 50 shear wave derived elasticity increases with tumor growth [62], 106 tissue, milk ducts, milk glands, and blood vessels with varying 51 [63] and Chamming et. al [62] found an excellent correlation 107 mechanical properties. The inhomogeneity of ST-HMI images s2 between tumor elasticity versus maximum diameter with a 108 may be due to the inherent inhomogeneity of the breast tissue 53 correlation coefficient of 0.94. In this study, the Pearson 109 that is needed to be confirmed. Future studies will validate the 54 correlation coefficient between median P2PD ratio versus 110 ST-HMI findings with the histopathological findings of the ss median diameter over mice (N=4) was 0.99 (p < 0.05). III excised post-surgery human breast specimen [64]. 56 However, after considering each mouse separately (mice # 4, 112 This feasibility study of ST-HMI demonstrated very

1 on CNR and contrast as the oscillation frequency. The median 57 time points # 4, N=16), the Pearson correlation coefficient $_{2}$ percent change in contrast and CNR was under 1% when duty $_{58}$ between P2PD ratio versus diameter was 0.82 (p < 0.05). The 3 cycle, cycle number, and excitation pulse offset were greater 59 discrepancy in the correlation coefficient may be due to the 4 than 6.36%, 6, and 0 ms, respectively. These results are 60 mismatch between the imaging plane at different time points. 5 meaningful as they indicate that it is possible to perform ST- 61 As a 2-D slice of a 3-D tumor volume was imaged, the plane 6 HMI with low exposure to ARF without compromising its 62 with maximum tumor diameter may not be imaged at each time

79 a different high-frequency transducer with a larger aperture. 24 These results in the phantoms are very promising. However, 80 The P2PD ratio was not able to statistically distinguish between 38 composition of the background below versus beside the tumor. 94 different types of breast masses and showed that the malignant 101 cancerous healthy tissues were not homogeneous and the 46 Both Figs. 12 and 13 indicate that mouse tumors became 102 normalized P2PD values in the non-cancerous tissue ROI

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promising results. However, the study has three main 62 [8] 2 limitations. First, P2PD displacements were used to infer the $_3$ mechanical properties. However, displacement is a function of $\frac{1}{65}$ 4 both elasticity and viscosity [31], [33]. Second, the P2PD ratio 66 [9] s of non-cancerous tissue to the tumor was used to account for ⁶⁷ 6 patients to patients or mice to mice variation in the ARF 69 [10] 7 amplitude. The mechanical property assessments will be 70 ⁸ confounded if the non-cancerous tissues experienced different 9 force amplitudes than the tumor or the mechanical properties of 73 [11] 10 non-cancerous tissues change over time. Third, the mechanical 74 75 11 anisotropy of breast tissue [66] was ignored. The mechanical 12 anisotropy may confound the displacement measurements [42], 77 [12] 13 [43], [67]. Future investigations will address these limitations. 78

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V. CONCLUSION

In this study, the initial feasibility of generating and tracking 15 82 16 harmonic motion at the ARF-ROE was shown using a linear 84 [14] 17 array transducer. ST-HMI contrasted six inclusions with 18 varying stiffness using two commercially available phantoms. 86 19 In the preclinical mouse study, the P2PD ratio of the non-88 [15] 20 cancerous tissue to the tumor increased over time indicating that 21 the tumor was stiffening during growth. In the clinical 90 22 application, ST-HMI detected three different types of breast 91 92 [16] 23 masses and showed that the malignant breast mass (IDC) was 24 stiffer than the benign breast masses (FA and PASH) with 94 25 respect to the nearest non-cancerous tissues. These results 96 [17] 26 indicate that ST-HMI is feasible and can assess the mechanical 27 properties of tissue via harmonic motion generation and 98 28 tracking at ARF-ROE without observing shear wave 99 100 [18] 29 propagation. 101

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