Theranostic ultrasound-facilitated magnetogenetics

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Introduction

- Motivation: achieve non-invasive, remote brain-to-brain communication to minimize human communication latency (Fig. 1)
- Magnetogenetics enables minimally invasive and remote stimulation of neuronal targets deep within the brain [1-4]
  - **Magnetic nanoparticles** convert alternating magnetic fields (AMF) to heat [3]
  - Genetically encoded **thermoreceptors** convert local heat into neuronal action potentials [3, 5]
  - Addition of **genetically encoded voltage indicators (GEVI)** provides optical readout during stimulation [6]
- **Theranostic ultrasound (ThUS):** synchronous blood-brain barrier (BBB) opening and real-time power cavitation imaging using a repurposed diagnostic ultrasound transducer operating with short pulses [7-8]
  - Electronic beam steering enables multi-target BBB opening during a single sonication [8]
  - Exhibits faster BBB closing rate relative to long pulses, and delivers AAV across BBB [8]
  - Could be used for noninvasive delivery of magnetogenetic components

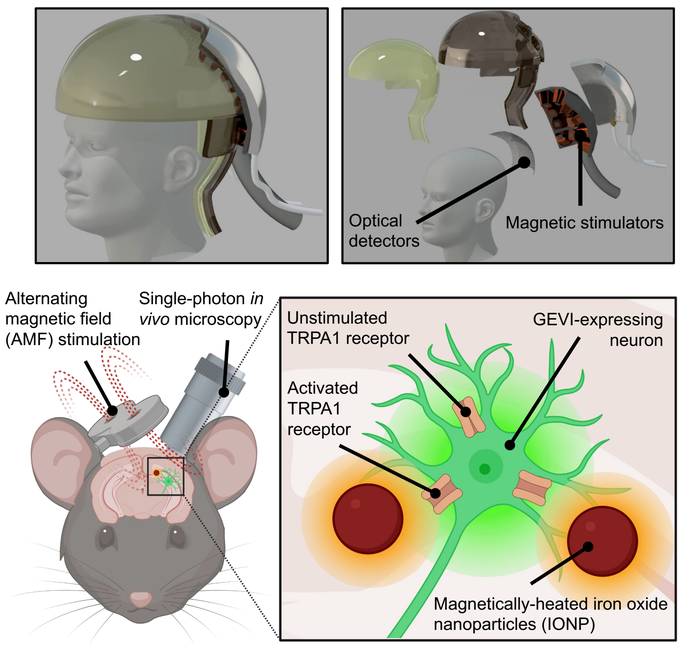

Figure 1: Magnetogenetic stimulation and recording in vivo. Top) Prototype of magnetogenetic-enabled brain-brain neuronal communication in humans. **Bottom)** Experimental overview of magnetogenetic stimulation in mice.

Objectives

- Optimize iron oxide nanoparticle (IONP) formulation for ThUS-mediated IONP delivery
- Develop viral vector constructs for ThUS-mediated BBB opening and evaluate expression of genetically encoded voltage indicators and TRPA1 thermoreceptors
- Demonstrate spatial colocalization of ThUS-delivered IONP and GEVI with multiple ThUS sessions

Methods

Theranostic Ultrasound (ThUS)

- **Single focus ThUS-mediated BBBO** with co-injected microbubbles and IONPs or AAV [7-8]

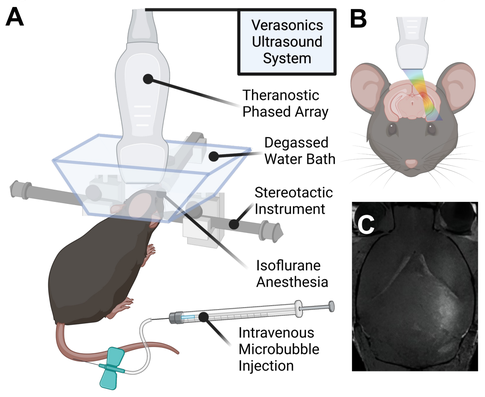

Figure 2: ThUS-mediated BBB opening. A) Experimental apparatus. **B)** Targeting and focusing achieved by electronic beam steering. **C)** Contrast-enhanced T_1-weighted MRI 20 acquired 20 minutes post-ThUS

Table 1: Single focus ThUS-mediated BBB opening parameters

Imaging Transducer	P4-1 (ATL, Philips)
Transmit Frequency	1.5 MHz
Bandwidth (-6 dB)	1.5 MHz – 3.5 MHz
Elements	96
Focal Depth	35 mm
Steering Angle	± 3.72 deg
Pulse Length	**10 cycles**
# of Sonications	1
Sonication Duration	2 min
Pulse Repetition Frequency	1000 Hz [7]
Peak Negative Pressure (derated)	**1.0 MPa**
Mechanical Index (MI)	**0.82**
Microbubbles	3.6e8 MBs/mL, Polydisperse, lipid shelled, perfluorobutane core

- **Multi focus ThUS-mediated BBBO** uses 9 distinct focal regions and low MI to induce sparse AAV delivery
- Whole-brain power cavitation imaging (PCI) displayed after segmentation of brain on pre-sonication B-mode image

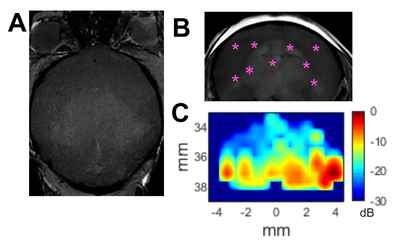

Figure 3: ThUS multi focus protocol. Axial **(A)** and coronal **(B)** Contrast-enhanced T_1-weighted MRI depicting locations of focal regions. **C)** Whole-brain cavitation mapping with power cavitation imaging (PCI)

Table 2: Multi focus TUS-mediated BBB opening parameters

Number of foci	9
Focal depth	various
Steering angle	various
Pulse Length	**1.5 cycles**
Peak Negative Pressure (derated)	**0.45 MPa**
Mechanical Index (MI)	**0.38**

Rate-sensitive thermoreceptors (TRPA1)

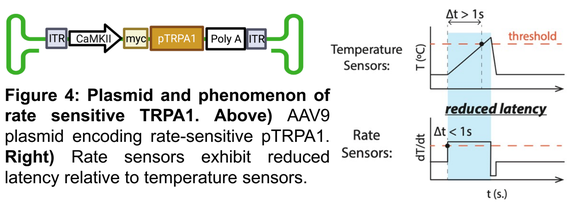

Figure 4: Plasmid and phenomenon of rate sensitive TRPA1. Above) AAV9 plasmid encoding rate-sensitive pTRPA1. **Right)** Rate sensors exhibit reduced latency relative to temperature sensors.

Table 3: Viral vector constructs for ThUS-mediated GEVI and TRPA1 delivery

	TRPA1	GEVI	
Construct	AAV9	AAVDJ	AAV9
Promoter	CaMKII	CAG	CaMKII
Transgene	pTRPA1	pACE or pACER	pACE
IV injected dose (gc/mouse)	1.3e11	1.3e11	1.1e11

Genetically-encoded voltage indicators (GEVI)

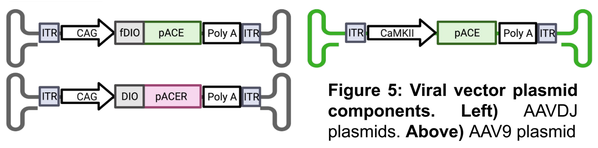

Figure 5: Viral vector plasmid components. Left) AAVDJ plasmids. **Above)** AAV9 plasmid

- pACE or pACER transgene fluorescence level correlates with neuronal spiking behavior [6]

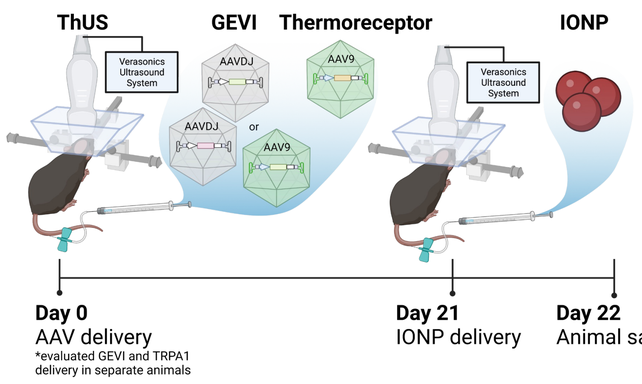

Figure 7: Timeline of viral gene delivery and IONP delivery with two ThUS sessions. Either AAVDJ or AAV9 (GEVI) or AAV9 (TRPA1) co-injected with microbubbles on day 0 for ThUS-mediated BBB opening. After 3-week transduction period, IONP delivered with second ThUS session on day 21, followed by sacrifice by transcardial perfusion on day 22.

Iron oxide nanoparticles (IONP)

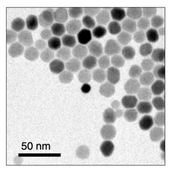

Figure 6: TEM image of 15 nm IONP [3]

Table 3: IONP properties

Chemical composition	Fe_3O_4
Diameter	15, 17, 19 nm
Diluent	5% dextrose
Fluorescent indicator	DiD
IV injected dose	5 mg/kg

Histology and microscopy

- Brains cryosectioned in coronal orientation at 35-µm thickness, and imaged with 10X or 20X magnification dry objectives with Leica DM5 or Olympus Microscope.

References

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Results

Reducing IONP diameter elicited increased deposition area of ThUS-delivered IONP

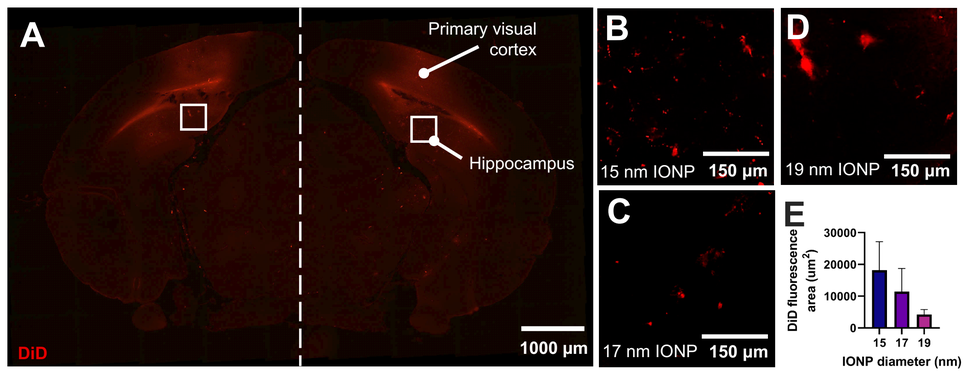

Figure 8: ThUS-facilitated iron-oxide nanoparticle (IONP) delivery. A) Entire coronal brain section demonstrating area of ThUS-delivered IONPs tagged with DiD dye. 15 nm IONP were delivered on the right hemisphere, while 19 nm IONP were delivered on the left hemisphere during a second sonication. Boxes denote the approximate ROIs of enlarged images in B-D. Representative images of 15 nm **(B),** 17 nm **(C)** and 19 nm **D)** IONP deposition. **E)** Quantification of area of IONP deposition vs. IONP diameter. Error bars denote mean ± standard deviation, n = 2 mice/group.

- Evaluated delivery of 3 different IONP diameters to optimize IONP formulation for ThUS-mediated BBB opening
- 17-19 nm IONP were more prone to aggregation (Fig. 8B-D), while 15 nm IONP exhibited 2.4- fold and 4.3-fold increased deposition area relative to 17 nm and 19 nm IONP, respectively (Fig. 8C-E)
- Thermal characterization is ongoing to determine magnetic heating capability of ThUS-delivered IONP

Optimization of AAV construct significantly increased ThUS-facilitated GEVI delivery

- AAVDJ-CAG-f/DIO-pACE (green) - pACER (red) exhibited poor neuronal specificity and overall transduction after ThUS-mediated BBBO (Fig. 9A-D,G)
- AAV9-CaMKII-pACE exhibited dramatically increased overall transduction and neuronal specificity relative to AAVDJ counterpart (Fig. 9E,G)
- Feasibility for recording sparse neuronal populations using low MI ThUS pulse sequence for AAV delivery (Fig. 9F)

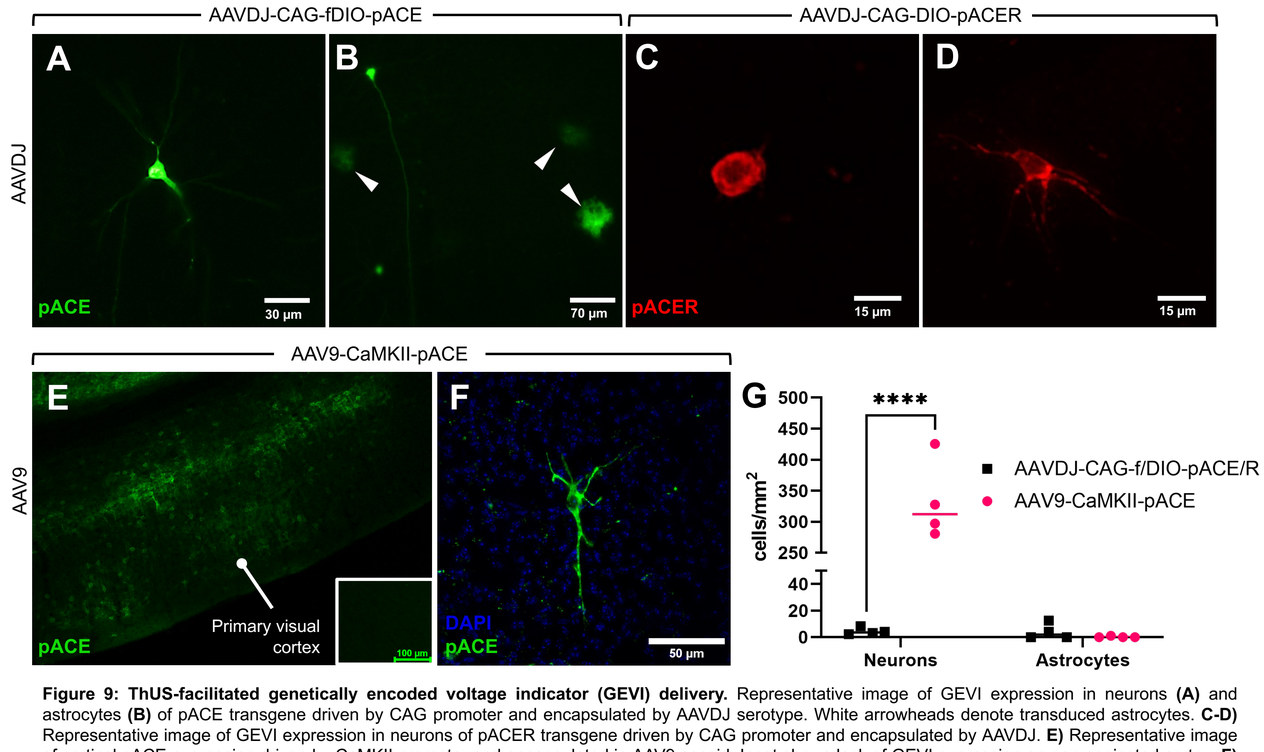

Figure 9: ThUS-facilitated genetically encoded voltage indicator (GEVI) delivery. Representative image of GEVI expression in neurons **(A)** and astrocytes **(B)** of pACE transgene driven by CAG promoter and encapsulated by AAVDJ serotype. White arrowheads denote transduced astrocytes. **C-D)** Representative image of GEVI expression in neurons of pACER transgene driven by CAG promoter and encapsulated by AAVDJ. **E)** Representative image of cortical pACE expression driven by CaMKII promoter and encapsulated in AAV9 capsid. Inset shows lack of GEVI expression on non-sonicated cortex. **F)** Singular pACE-expressing neuron transduced by lower MI ThUS pulse sequence. **G)** Quantification of construct dependent cell transduction. p<0.0001 determined by two-way ANOVA with post hoc Sidak multiple comparisons test, n=4 mice/group

ThUS-mediated viral gene delivery and expression of rate-sensitive TRPA1

- Delivered AAV9-CaMKII-myc-TRPA1 with analogous pulse sequence and target coordinates to IONP delivery
- Positive DAB signal indicates neurons expressing TRPA1 after ThUS BBBO (Fig. 10A)

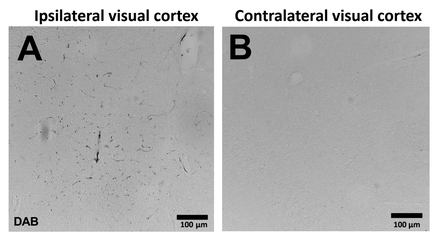

Figure 10: ThUS-facilitated TRPA1 delivery. A) Positive DAB staining (black) against myc tag encoded in AAV9-TRPA1 plasmid indicates TRPA1-expressing neurons located in ipsilateral sonicated visual cortex region approximately denoted in Fig. 8A. **B)** no detectable positive DAB signal in contralateral visual cortex.

Multiple sessions of ThUS mediated BBB opening elicited spatial colocalization of GEVI and IONP

- Mice received ThUS-mediated GEVI delivery on day 0, followed by survival for 21 days, ThUS-mediated IONP delivery at the same target on day 21, with sacrifice on day 22.
- Spatial colocalization of GEVI+ neurons and IONPs is possible with two ThUS sessions

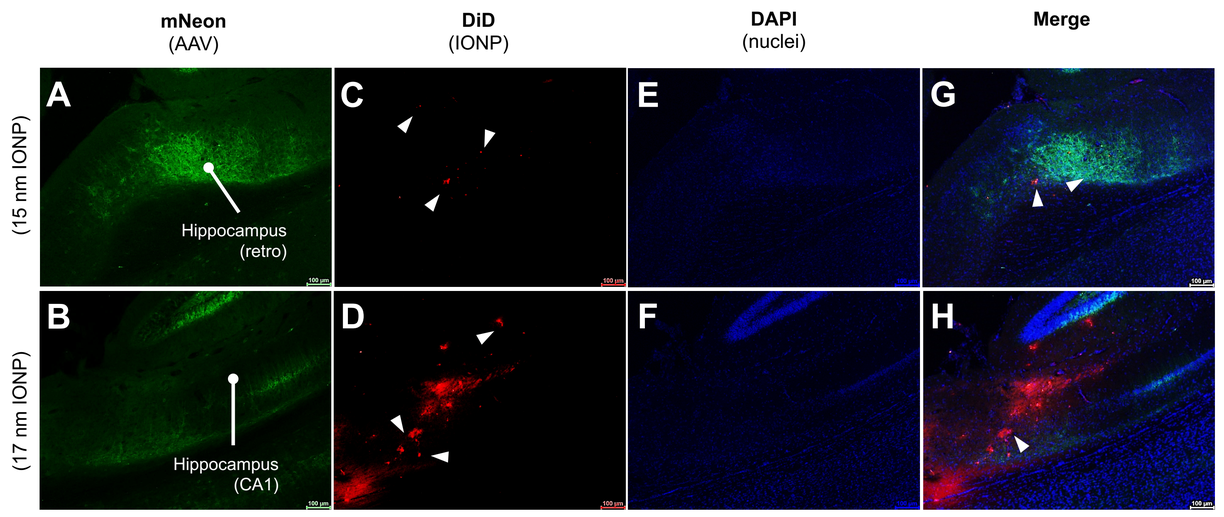

Figure 11: Spatial colocalization of IONP and GEVI-expressing neurons with repeated ThUS sonications. Representative subcortical pACE expression induced by AAV9-CamKII-pACE delivery on day 0 in mice which received 15 nm **(A)** IONP and 17 nm **(B)** IONP. Representative 15 nm **(C)** IONP and 17 nm **(D)** IONP deposition indicated by DiD fluorescence delivered with ThUS on day 21, with corresponding DAPI fluorescence denoting cell nuclei in **(E-F).** Merged channel images of spatial colocalization of pACE-expressing cells with 15 nm **(G)** IONP or 17 nm **(H)** IONP. Arrowheads denote regions where GEVI and IONP colocalization is observed.

Conclusions & Future Work

- Trending increase in ThUS-mediated IONP deposition observed with decreasing in IONP diameter (Fig. 8)
- ThUS-facilitated GEVI delivery with AAV9 capsid and CaMKII promoter exhibited significantly greater density and specificity of transduced neurons relative to AAVDJ constructs (Fig. 9)
- Single cell GEVI transduction per field of view achieved with low-MI, multi focus ThUS pulse sequence (Fig. 3, 9F)
- ThUS-optimized delivery of TRPA1 using AAV9 and CaMKII elicited neuronal expression of TRPA1 in vivo (Fig. 10)
- Spatial colocalization of AAV-mediated GEVI expression and IONP deposition is feasible with two sessions of ThUS (Fig. 11)

Ongoing and future work

- Thermal characterization of ThUS-delivered IONPs
- Long-term safety of IONP delivery
- *In vivo* GEVI imaging
- Synergistic behavioral experiment with ThUS-delivered NP, TRPA1, and GEVI
- ThUS-mediated AAV-TRPA1 delivery in NHP

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